



Heidelberg Pharma AG



WKN: A11QVV ISIN: DE000A11QVV0 Land: Deutschland

Nachricht vom 07.09.2022 | 13:53

**Heidelberg Pharma AG: Release according to Article 40, Section 1 of the WpHG [the German Securities Trading Act] with the objective of Europe-wide distribution**

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 07.09.2022 / 13:53 CET/CEST  
 Dissemination of a Voting Rights Announcement transmitted by DGAP - a service of EQS Group AG.  
 The issuer is solely responsible for the content of this announcement.

**Notification of Major Holdings**

**1. Details of issuer**

|                                |                       |
|--------------------------------|-----------------------|
| Name:                          | Heidelberg Pharma AG  |
| Street:                        | Gregor-Mendel-Str. 22 |
| Postal code:                   | 68526                 |
| City:                          | Ladenburg<br>Germany  |
| Legal Entity Identifier (LEI): | 391200E09XYBYITR1W32  |

**2. Reason for notification**

|                                     |   |
|-------------------------------------|---|
| <input type="checkbox"/>            | Acquisition/disposal of shares with voting rights |
| <input type="checkbox"/>            | Acquisition/disposal of instruments               |
| <input checked="" type="checkbox"/> | Change of breakdown of voting rights              |
| <input type="checkbox"/>            | Other reason:                                     |

**3. Details of person subject to the notification obligation**

|                                       |                |
|---------------------------------------|----------------|
| Natural person (first name, surname): | Mathias Hothum |
| Date of birth:                        | 09 Apr 1967    |

**4. Names of shareholder(s)**

|   |  |
|---|--|
| holding directly 3% or more voting rights, if different from 3. |  |
| dievini Hopp BioTech holding GmbH & Co. KG                      |  |
| DH-LT-Investments GmbH  |  |

**5. Date on which threshold was crossed or reached:**

|             |
|-------------|
| 02 Sep 2022 |
|-------------|

**6. Total positions**

|                       | % of voting rights attached to shares (total of 7.a.) | % of voting rights through instruments (total of 7.b.1 + 7.b.2) | Total of both in % (7.a. + 7.b.) | Total number of voting rights pursuant to Sec. 41 WpHG |
|-----------------------|---|---|----------------------------------|--|
| New                   | 21.04 %   | 0.00 %  | 21.04 %                          | 46584457   |
| Previous notification | 28.69 %   | 1.33 %  | 28.69 %                          | /  |

**7. Details on total positions**

**a. Voting rights attached to shares (Sec. 33, 34 WpHG)**

| ISIN         | Absolute              |                         | In %                  |                         |
|--------------|-----------------------|-------------------------|-----------------------|-------------------------|
|              | Direct (Sec. 33 WpHG) | Indirect (Sec. 34 WpHG) | Direct (Sec. 33 WpHG) | Indirect (Sec. 34 WpHG) |
| DE000A11QVV0 | 0                     | 9803357                 | 0.00 %                | 21.04 %                 |
| <b>Total</b> |                       | 9803357                 |                       | 21.04 %                 |

**b.1. Instruments according to Sec. 38 (1) no. 1 WpHG**

| Type of instrument | Expiration or maturity date | Exercise or conversion period | Voting rights absolute | Voting rights in % |
|--------------------|-----------------------------|-------------------------------|------------------------|--------------------|
|                    |                             |                               | 0                      | 0.00 %             |
|                    |                             | <b>Total</b>                  | 0                      | 0.00 %             |

**b.2. Instruments according to Sec. 38 (1) no. 2 WpHG**

| Type of instrument | Expiration or maturity date | Exercise or conversion period | Cash or physical settlement | Voting rights absolute | Voting rights in % |
|--------------------|-----------------------------|-------------------------------|-----------------------------|------------------------|--------------------|
|                    |                             |                               |                             | 0                      | 0.00 %             |
|                    |                             |                               | <b>Total</b>                | 0                      | 0.00 %             |

**8. Information in relation to the person subject to the notification obligation**

|                                     |   |
|-------------------------------------|---|
| <input type="checkbox"/>            | Person subject to the notification obligation is not controlled nor does it control any other undertaking(s) that directly or indirectly hold(s) an interest in the (underlying) issuer (1.). |
| <input checked="" type="checkbox"/> | Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity:  |

| Name                    | % of voting rights (if at least 3% or more) | % of voting rights through instruments (if at least 5% or more) | Total of both (if at least 5% or more) |
|-------------------------|---|---|--|
| -Herr Mathias Hothum    | %   | %   | %                                      |
| -MH-LT-Investments GmbH | 21.04 %                                     | %   | 21.04 %                                |

**9. In case of proxy voting according to Sec. 34 para. 3 WpHG**

(only in case of attribution of voting rights in accordance with Sec. 34 para. 1 sent. 1 No. 6 WpHG)

Date of general meeting:

Holding total positions after general meeting (6.) after annual general meeting:

| Proportion of voting rights | Proportion of instruments | Total of both |
|-----------------------------|---------------------------|---------------|
| %                           | %                         | %             |

**10. Other explanatory remarks:**

Date

06 Sep 2022

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